

APPLICATION TRANSFER REQUEST FOR S.N. 09/966963

2/20/02  
Section I. TRANSFER REQUEST BY (PRINT NAME) 1772

Date 11/01/01

TO: Art Unit 2834

Class/sub 310/309

From: A.U. 177 Class 428

REASON:

*Electrostatic Actuator*

Gatekeeper concurrence 2/20/02

Hand carried: Personally accepted by \_\_\_\_\_

Section II. DISPOSITION BY RECEIVING TC

A.U. 2834

Date 2/5/02

☐ ACCEPTED BY RECEIVING T.C.

NOT ACCEPTED

☒ Forward to Post Classifier

☐ Return to Originating Technology Center / AU \_\_\_\_\_

REASON:

*No electrostatic specific elements Not 310  
also specific of optics claimed Not 359  
Either class 74 (mechanical movement) or 218 (hydraulic)  
AU 3682*

DISPOSITION BY RECEIVING TC POST CLASSIFIER

☒ This dispute was resolved. Forward to Class/Sub 359/230 TC/AU 2874 Post Classifier Schreiner Date 3/23

Concurring \_\_\_\_\_ Date \_\_\_\_\_

☐ This dispute was not resolved, forward to DISPUTE RESOLUTION PANEL

Post Classifier Assessment: *The only disclosed use for the MEM platform is with an optical element. See "Background of the Invention" and spec., pg. 2, lines 5-14. The disclosure along with optical limitation in claims 19, 31 & 43 along with U.S. 5914801 & 6318871 points to proper classification in class 359.*

Gatekeeper Concurrence \_\_\_\_\_

Section III. DISPOSITION BY DISPUTE RESOLUTION PANEL

Date \_\_\_\_\_

Panel Decision: Forward to Technology Center / Art Unit \_\_\_\_\_

Class/sub \_\_\_\_\_

REASON:

Panel Member \_\_\_\_\_

☐ Concurring Panel Member \_\_\_\_\_

☐ This application MAY be returned to the dispute resolution panel if reconsideration is desired (use form 447R).

☐ This application MAY NOT be returned to the dispute resolution panel. THIS IS A FINAL DISPOSITION.

## 1700 INTERNAL TRANSFER REQUEST FOR S.N.:

09/966963

FROM (print name/AU):

1772

DATE:

11/1/01

## FORWARD TO:

Art Unit:

1709

Class:

Subclass:

## REASON(S):

You had Parent S.N.:

See Specification page(s):

See Claims:

REASONS:

ACCEPTED BY (print name/AU):

DATE:

DECLINED BY (print name/AU):

DATE:

## FORWARD TO:

Art Unit:

Class:

Subclass:

## REASON(S):

You had Parent S.N.:

See Specification page(s):

See Claims:

REASONS:

ACCEPTED BY (print name/AU):

DATE:

DECLINED BY (print name/AU):

Thurman

DATE:

10-31

## FORWARD TO:

1709

1700 CLASSIFICATION UNIT

## REASON(S):

See Specification page(s):

See Claims:

REASONS:

please classify

## DISPOSITION BY 1700 CLASSIFICATION UNIT

## FORWARD TO:

Art Unit:

Class:

Subclass:

## REASON(S):

You had Parent S.N.:

See Specification page(s):

See Claims:

REASONS:

BY CLASSIFIER (print name):

DATE: